

# Towards Higher Observational Type Theory

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► Ordinary type theory: inductively by  
 $\text{refl} : (a : A) \rightarrow \text{Id}_A a a$

► Cubical type theory:  
 $\text{Id}_A a_0 a_1 := (e : \mathbb{I} \rightarrow A) \times (e 0 = a_0) \times (e 1 = a_1)$

► Observational type theory:  
 $\text{Id}_{A \times B} (a_0, b_0) (a_1, b_1) = \text{Id}_A a_0 a_1 \times \text{Id}_B b_0 b_1$   
 $\text{Id}_{A \rightarrow B} f g = (x : A) \rightarrow \text{Id}_B (f x) (g x)$   
 $\text{Id}_{\text{Bool}} a b = \text{if } a \text{ then (if } b \text{ then } \top \text{ else } \perp) \text{ else (if } b \text{ then } \perp \text{ else } \top)$   
 $\text{Id}_{\text{Type}} A B = (A \simeq B)$

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└ How is  $\text{Id}_A : A \rightarrow A \rightarrow \text{Type}$  defined?

1. funext for free from the definition of Id for Pi
2. definitional injectivity and disjointness of constructors of inductive types
3. univalence by definition (hopefully)
4. no need for interval and higher dimensions

# How is $\text{Id}_A : A \rightarrow A \rightarrow \text{Type}$ defined?

- Ordinary type theory: inductively by

$$\text{refl} : (a : A) \rightarrow \text{Id}_A a a$$

- Cubical type theory:

$$\text{Id}_A a_0 a_1 := (e : \mathbb{I} \rightarrow A) \times (e 0 = a_0) \times (e 1 = a_1)$$

- Observational type theory:

$$\text{Id}_{A \times B} (a_0, b_0) (a_1, b_1) = \text{Id}_A a_0 a_1 \times \text{Id}_B b_0 b_1$$

$$\text{Id}_{A \rightarrow B} f g = (x : A) \rightarrow \text{Id}_B (f x) (g x)$$

$$\text{Id}_{\text{Bool}} a b = \text{if } a \text{ then (if } b \text{ then } \top \text{ else } \perp) \text{ else (if } b \text{ then } \perp \text{ else } \top)$$

$$\text{Id}_{\text{Type}} A B = (A \simeq B)$$

## Observational type theory: a problem

$$\text{Id}_{\Sigma(x:A).B \times} (a_0, b_0) (a_1, b_1) =$$

- ▶  $\Sigma(e : \text{Id}_A a_0 a_1). \text{Id}_B \underbrace{b_0}_{:B a_0} \underbrace{b_1}_{:B a_1}$
- ▶  $\Sigma(e : \text{Id}_A a_0 a_1). \text{Id}_B (transport_B e b_0) b_1$
- ▶  $\Sigma(e : \text{Id}_A a_0 a_1). \text{Id}_B b_0 (transport_B e^{-1} b_1)$

Instead:

- ▶ Altenkirch–McBride–Swierstra 2007: John Major equality
  - ▶ incompatible with univalence
- ▶ Bernardy–Jansson–Paterson 2010: parametricity relation
  - ▶ a model construction / syntactic translation

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1. type dependency
2. transports: asymmetry, we don't want to mention transport when specifying Id, we might only want parametricity
3. parametricity: preservation of correspondances (relations); equality: preservation of equivalences

# Parametricity

$$\frac{\Gamma : \text{Con}}{\Gamma^R : \text{Ty}(\Gamma, \Gamma)}$$

$$\frac{A : \text{Ty } \Gamma}{A^R : \text{Ty}(\gamma_0 : \Gamma, \gamma_1 : \Gamma, \Gamma^R, A[\gamma_0], A[\gamma_1])}$$

$$\frac{a : \text{Tm } \Gamma A}{a^R : \text{Tm}(\gamma_0 : \Gamma, \gamma_1 : \Gamma, \Gamma^R)(A^R[a[\gamma_0], a[\gamma_1]])}$$

$$(\Gamma, A)^R[(\gamma_0, a_0), (\gamma_1, a_1)] = \Sigma(\gamma_2 : \Gamma^R[\gamma_0, \gamma_1]).A^R[\gamma_0, \gamma_1, \gamma_2, a_0, a_1]$$

- ▶ This only gives external parametricity e.g. for  $\Pi(A : \text{Type}).A \rightarrow A$ .
- ▶ We tried to add new operations  $\text{refl}_\Gamma : \text{Tm}(\gamma : \Gamma)(\Gamma^R[\gamma, \gamma])$  but ended up in permutation hell (TYPES 2015 in Tallinn).

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## └ Parametricity

Parametricity

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1. syntactic translation on contexts, types, terms or constructing a displayed model from any model (and a section if we start with the syntax)
2. for experts: context should better be mapped to a context with projections, but I use the indexed version for conciseness
3. we tried adding all the  $^R$  operations and their equations as new syntax expressing Id for Con, Id for Ty, cong/ap
4. refl adds new normal forms (it can't be defined, there are non-parametric models)

# Parametricity

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- ▶ The external parametricity translation can *specify* internal parametricity!
- ▶ We just need to change from an external viewpoint to an internal.

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- ▶ The external parametricity translation can specify internal parametricity!
- ▶ We just need to change from an external viewpoint to an internal.

1. Mike fixed our old syntax.

# Internal standard model

In the presheaf model over the syntax of type theory, we have

$$\mathsf{Ty}^\circ : \mathsf{Set}$$

$$\mathsf{Tm}^\circ : \mathsf{Ty}^\circ \rightarrow \mathsf{Set}$$

$$\Sigma^\circ : (A : \mathsf{Ty}^\circ) \rightarrow (\mathsf{Tm}^\circ A \rightarrow \mathsf{Ty}^\circ) \rightarrow \mathsf{Ty}^\circ$$

We define the standard model of type theory internally to presheaves over the syntax.

$$\mathsf{Con} := \mathsf{Ty}^\circ$$

$$\mathsf{Ty} \Gamma := \mathsf{Tm}^\circ \Gamma \rightarrow \mathsf{Ty}^\circ$$

$$\mathsf{Tm} \Gamma A := (\gamma : \mathsf{Tm}^\circ \Gamma) \rightarrow \mathsf{Tm}^\circ (A \gamma)$$

$$(\Gamma, A) := \Sigma^\circ \Gamma A$$

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## Internal standard model

1. syntax of type theory forms a category
2. two-level type theory ( $^\circ$  notation), HOAS
3. translate everything to external in words
4. model = CwF + extra
5. standard model = set model = type model

$$\begin{aligned} \mathsf{Ty}^\circ &: \mathsf{Set} \\ \mathsf{Tm}^\circ &: \mathsf{Ty}^\circ \rightarrow \mathsf{Set} \\ \Sigma^\circ &: (A : \mathsf{Ty}^\circ) \rightarrow (\mathsf{Tm}^\circ A \rightarrow \mathsf{Ty}^\circ) \rightarrow \mathsf{Ty}^\circ \end{aligned}$$

$$\begin{aligned} \mathsf{Con} &:= \mathsf{Ty}^\circ \\ \mathsf{Ty} \Gamma &:= \mathsf{Tm}^\circ \Gamma \rightarrow \mathsf{Ty}^\circ \\ \mathsf{Tm} \Gamma A &:= (\gamma : \mathsf{Tm}^\circ \Gamma) \rightarrow \mathsf{Tm}^\circ (A \gamma) \\ (\Gamma, A) &:= \Sigma^\circ \Gamma A \end{aligned}$$

$$\frac{\Gamma : \text{Con}}{\Gamma^R : \text{Ty}(\Gamma, \Gamma)}$$

$$\frac{A : \text{Ty} \Gamma}{A^R : \text{Ty}(\gamma_0 : \Gamma, \gamma_1 : \Gamma, \Gamma^R, A[\gamma_0], A[\gamma_1])}$$

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2022-08-30

Internal parametricity

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$$\frac{\Gamma : \text{Ty}^\circ}{\Gamma^R : \text{Tm}^\circ \Gamma \rightarrow \text{Tm}^\circ \Gamma \rightarrow \text{Ty}^\circ}$$

$$\frac{A : \text{Tm}^\circ \Gamma \rightarrow \text{Ty}^\circ}{A^R : \text{Tm}^\circ (\Gamma^R \gamma_0 \gamma_1) \rightarrow \text{Tm}^\circ (A \gamma_0) \rightarrow \text{Tm}^\circ (A \gamma_1) \rightarrow \text{Ty}^\circ}$$

$$\frac{a : (\gamma : \text{Tm}^\circ \Gamma) \rightarrow \text{Tm}^\circ (A \gamma)}{a^R : (\gamma_2 : \text{Tm}^\circ (\Gamma^R \gamma_0 \gamma_1)) \rightarrow \text{Tm}^\circ (A^R \gamma_2 (a \gamma_0) (a \gamma_1))}$$

$$(\Sigma^\circ \Gamma A)^R (\gamma_0, a_0) (\gamma_1, a_1) = \Sigma^\circ (\gamma_2 : \Gamma^R \gamma_0 \gamma_1). A^R \gamma_2 a_0 a_1$$

2022-08-30

## Internal parametricity

1. We replace Con, Ty, ... by the standard model

$$\frac{\Gamma : \text{Ty}^\circ}{\Gamma^R : \text{Tm}^\circ \Gamma \rightarrow \text{Tm}^\circ \Gamma \rightarrow \text{Ty}^\circ}$$

$$\frac{A : \text{Tm}^\circ \Gamma \rightarrow \text{Ty}^\circ}{A^R : \text{Tm}^\circ (\Gamma^R \gamma_0 \gamma_1) \rightarrow \text{Tm}^\circ (A \gamma_0) \rightarrow \text{Tm}^\circ (A \gamma_1) \rightarrow \text{Ty}^\circ}$$

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$$\begin{array}{c}
 \Gamma : \text{Ty}^\circ \\
 \hline
 \text{Id}_\Gamma : \text{Tm}^\circ \Gamma \rightarrow \text{Tm}^\circ \Gamma \rightarrow \text{Ty}^\circ \\
 \\
 A : \text{Tm}^\circ \Gamma \rightarrow \text{Ty}^\circ \\
 \hline
 \text{Idd}_A : \text{Tm}^\circ (\text{Id}_\Gamma \gamma_0 \gamma_1) \rightarrow \text{Tm}^\circ (A \gamma_0) \rightarrow \text{Tm}^\circ (A \gamma_1) \rightarrow \text{Ty}^\circ \\
 \\
 a : (\gamma : \text{Tm}^\circ \Gamma) \rightarrow \text{Tm}^\circ (A \gamma) \\
 \hline
 \text{apd } a : (\gamma_2 : \text{Tm}^\circ (\text{Id}_\Gamma \gamma_0 \gamma_1)) \rightarrow \text{Tm}^\circ (\text{Idd}_A \gamma_2 (a \gamma_0) (a \gamma_1)) \\
 \\
 \text{Id}_\Sigma : \Gamma A (\gamma_0, a_0) (\gamma_1, a_1) = \Sigma^\circ (\gamma_2 : \text{Id}_\Gamma \gamma_0 \gamma_1). \text{Idd}_A \gamma_2 a_0 a_1 \\
 \text{Id}_\top : \text{tt tt} = \top \\
 \\
 \frac{a : \text{Tm}^\circ A}{\text{refl } a := \text{apd } (\lambda \dots a) \text{ tt} : \text{Tm}^\circ (\text{Idd}_{\lambda \dots A} \text{ tt } a a)}
 \end{array}$$

2022-08-30

## Internal parametricity

1. We rename the operations.
2. This is the core of the syntax of H.O.T.T.

# Internal parametricity

$$\frac{\Gamma : \text{Ty}^\circ}{\text{Id}_\Gamma : \text{Tm}^\circ \Gamma \rightarrow \text{Tm}^\circ \Gamma \rightarrow \text{Ty}^\circ}$$

$$\frac{A : \text{Tm}^\circ \Gamma \rightarrow \text{Ty}^\circ}{\text{Idd}_A : \text{Tm}^\circ (\text{Id}_\Gamma \gamma_0 \gamma_1) \rightarrow \text{Tm}^\circ (A \gamma_0) \rightarrow \text{Tm}^\circ (A \gamma_1) \rightarrow \text{Ty}^\circ}$$

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$$\begin{aligned}
 \text{Id}_{\Sigma^\circ \Gamma A} (\gamma_0, a_0) (\gamma_1, a_1) &= \Sigma^\circ (\gamma_2 : \text{Id}_\Gamma \gamma_0 \gamma_1). \text{Idd}_A \gamma_2 a_0 a_1 \\
 \text{Id}_\top \text{tt tt} &= \top
 \end{aligned}$$

$$\frac{a : \text{Tm}^\circ A}{\text{refl } a := \text{apd } (\lambda \dots a) \text{ tt} : \text{Tm}^\circ (\text{Idd}_{\lambda \dots A} \text{ tt } a a)}$$

# Summary

- ▶ The syntax for internal parametricity is the internal Bernardy logical relation interpretation.
  - ▶ Internal to presheaves over the syntax a.k.a. two level type theory, HOAS, logical framework.
  - ▶ Logical relation over the internal standard model.
- ▶ Work in progress!
- ▶ To get H.O.T.T., we need: transport, symmetries.
  - ▶ See Mike's talks at the CMU HoTT seminar (click!)
- ▶ Compared to cubical type theory, cubical internal parametricity:
  - ▶ To specify the syntax, we don't need an interval or talk about dimensions
  - ▶ Stricter, e.g. univalence computes better

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## Summary

1. More precisely, section of the logical relation displayed model over the standard model.

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