

# From High School Algebra to University Algebra

Thorsten Altenkirch

Functional Programming Laboratory  
School of Computer Science  
University of Nottingham

June 21, 2013

## Primary School Algebra (PSA)

$$A + B = B + A$$

$$A + (B + C) = (A + B) + C$$

$$1 \times A = A$$

$$A \times B = B \times A$$

$$A \times (B + C) = (A \times B) + (A \times C)$$

- An equation in PSA is provable, iff it is true for all (positive) natural numbers.
- I.e. PSA is complete for this interpretation.

# High School Algebra (HSA)

PSA +

$$\begin{aligned}1^A &= 1 \\(A \times B)^C &= A^C \times B^C \\A^1 &= A \\A^{B \times C} &= (A^B)^C \\A^{B+C} &= A^B \times A^C\end{aligned}$$

- Tarski conjecture: HSA is complete.
- Certainly wrong when we add 0, we cannot derive

$$0^x = 0^{0^x}$$

from  $A^0 = 1$  but it is true for the natural numbers.

- Note that

$$0^x = \begin{cases} 1 & \text{if } x = 0 \\ 0 & \text{otherwise} \end{cases}$$

- There is no equation to simplify  $(A + B)^C$ .

## Wilkie's counterexample

$$\begin{array}{l} A = 1 + x \\ C = 1 + x^3 \end{array} \quad \begin{array}{l} B = 1 + x + x^2 \\ D = 1 + x^2 + x^4 \end{array}$$

Note that:

$$A \times D = B \times C = 1 + x + x^2 + x^3 + x^4 + x^5$$

Consider:

$$(A^x + B^x)^y \times (C^y + D^y)^x = (A^y + B^y)^x \times (C^x + D^x)^y$$

This equality is true for all positive natural numbers **but** it is not provable from the laws of HSA.

## Why is it true?

$$\begin{aligned} A &= 1 + x & B &= 1 + x + x^2 \\ C &= 1 + x^3 & D &= 1 + x^2 + x^4 \end{aligned}$$

Let  $E = 1 - x + x^2$ , we have

$$A \times E = C$$

$$B \times E = D$$

Hence:

$$\begin{aligned} &(A^x + B^x)^y \times (C^y + D^y)^x \\ &= (A^x + B^x)^y \times ((A \times E)^y + (B \times E)^y)^x \\ &= (A^x + B^x)^y \times (E^y)^x \times (A^y + B^y)^x \\ &= (A^x + B^x)^y \times (E^x)^y \times (A^y + B^y)^x \\ &= ((E \times A)^x + (E \times B)^x)^y \times (A^y + B^y)^x \\ &= (C^x + D^x)^y \times (A^y + B^y)^x \\ &= (A^y + B^y)^x \times (C^x + D^x)^y \end{aligned}$$

## Why can't we derive it?

- We cannot use  $E = 1 - x + x^2$  because of the negative coefficient.
- Wilkie showed formally that this equality is not derivable in any other way using HSA.
- He also showed that if we add all equalities which are consequences of using negative numbers we get completeness.
- Gurevich showed that there is no finite equational formalisation of HSA.
- Gurevich also showed that HSA is decidable.

## The Numbers-as-types equivalence

- We can interpret the operations of HSA as operations on types:

$A + B$  disjoint union

$A \times B$  cartesian product

$A^B$  function types  $B \rightarrow A$

- The equalities of HSA become isomorphisms which hold in any Cartesian Closed Category with coproducts.
- E.g  $A^{B+C} = A^B \times A^C$  is witnessed by

$$\phi : ((B + C) \rightarrow A) \rightarrow (B \rightarrow A) \times (C \rightarrow A)$$

$$\phi = \lambda f.(f \circ \text{inl}, f \circ \text{inr})$$

$$\phi^{-1} : (B \rightarrow A) \times (C \rightarrow A) \rightarrow ((B + C) \rightarrow A)$$

$$\phi^{-1} = \lambda(g, h).\lambda x.\text{case } x \text{ } g \text{ } h$$

- The isomorphism corresponding to  $A^{B \times C} = (A^B)^C$  is well known in functional programming.

## Di Cosmo's question

- Does the incompleteness also apply if we want to derive isomorphisms?
- In particular does the Wilkie counterexample correspond to an isomorphism?
- This was answered positively by Fiore, Di Cosmo and Balat.
- Exercise: Implement the Wilkie counterexample in Haskell, that is assuming that  $A \times D \simeq B \times C$  derive

$$\begin{aligned} & (Y \rightarrow (X \rightarrow A) + (X \rightarrow B)) \times (X \rightarrow (Y \rightarrow C) + (Y \rightarrow D)) \\ \simeq & (X \rightarrow (Y \rightarrow A) + (Y \rightarrow B)) \times (Y \rightarrow (X \rightarrow C) + (X \rightarrow D)) \end{aligned}$$

- What happens if we add dependent types?



# University Algebra (UA)

We use a Type Theory with  $1, 2, \Pi, \Sigma$ :

$$\begin{aligned}\Phi_{2C} &: && 2 &\simeq & 2 \\ \Phi_{2A} &: & \Sigma x : 2. \text{if } x A \Sigma y : 2. \text{if } y B C &\simeq & \Sigma x : 2. \text{if } x (\Sigma y : 2. \text{if } y A B) C \\ \Phi_{\Sigma A} &: & \Sigma a : A. \Sigma b : B a. C a b &\simeq & \Sigma(a, b) : (\Sigma a : A. B a). C a b \\ \Phi_{\Pi 1} &: & \Pi - : A. 1 &\simeq & 1 \\ \Phi_{1\Pi} &: & \Pi x : 1. B x &\simeq & B () \\ \Phi_{2\Pi} &: & \Pi b : 2. B b &\simeq & (B \text{tt}) \times (B \text{ff}) \\ \Phi_{1\Sigma} &: & \Sigma x : 1. B x &\simeq & B () \\ \Phi_{\Sigma\Pi} &: & \Pi a : A. \Pi b : B a. C a b &\simeq & \Pi(a, b) : (\Sigma a : A. B a). C a b \\ \Phi_{\Pi\Sigma} &: & \Pi a : A. \Sigma b : B a. C a b &\simeq & \Sigma f : (\Pi a : A. B a). \Pi a : A. C a (f a)\end{aligned}$$

## Deriving the Wilkie-Isomorphism

- We define  $A + B = \Sigma x : 2.\text{if } x A B$ .
- We can define  $A \times B$  either as  $\Sigma x : A.B$  or as  $\Pi x : 2.\text{if } x A B$ .
- Using  $A \rightarrow B = \Pi x : A.B$  we can derive all isomorphisms of HSA.
- Unlike in HSA we can reduce  $A \rightarrow B + C$  using  $\Phi_{\Pi\Sigma}$ :

$$\begin{aligned} A \rightarrow B + C & \\ &= A \rightarrow \Sigma x : 2.\text{if } x B C) \\ &\simeq \Sigma f : A \rightarrow 2.\Pi x : A.\text{if } (f x) B C \end{aligned}$$

- Using this idea we can derive the Wilkie-Isomorphism in UA see paper.

## Questions

- In UA the counterexample to completeness is actually derivable.
- This raises the question whether UA is complete for (natural) isomorphisms in the category of non-empty finite sets.
- The key idea seems to be that UA unlike HSA has a normal form for types:

$$\begin{aligned} \text{NF} &:: \Sigma x : \text{NF}_{\Pi} . \text{NF} \mid \text{NF}_{\Pi} \\ \text{NF}_{\Pi} &:: \Pi x : \text{NF} . \text{NF}_{\Pi} \mid \text{NF}_0 \\ \text{NF}_0 &:: \mathcal{X} \mid n \mid \text{T}[\text{NF}] \end{aligned}$$

- I also conjecture that the extensional Type Theory with  $1, 2, \Pi, \Sigma$  is decidable (again this fails if we add  $0$ ).